

Chapter 435 - Arbitration

## **Chapter 435 - Arbitration**

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Chapter 435 - Arbitration / Section 435.012 Notice, contents of, effect of ...

# Section 435.012 Notice, contents of, effect of insufficient notice.

MO Rev Stat § 435.012 (2019) (N/A)



#### Effective 28 Aug 1986

435.012. Notice, contents of, effect of insufficient notice. — 1. In order to insure that all parties to an arbitration proceeding are aware of their rights under the provisions of sections 435.350 to 435.470, the notification served upon the parties by the arbitrator pursuant to subdivision (1) of section 435.370 shall contain a clear and concise statement of the issue subject to arbitration, if such has been agreed upon, and a statement advising the parties of their rights under sections 435.350 to 435.470 including, but not limited to:

- (1) The right to be represented by an attorney;
- (2) The right to seek subpoenas for the attendance of witnesses and subpoenas duces tecum;
- (3) The right to be heard, to present evidence and cross-examine witnesses;
- (4) The right to adjournment for good cause.
- 2. The notification shall include a brief statement detailing the name, experience and educational background of each neutral arbitrator.
- 3. Failure of the arbitrator, agent or sponsoring organization to provide notification as required by subsections 1 and 2 of this section shall be grounds for continuing the arbitration hearing for a period of at least ten days.

(L. 1986 H.B. 887 § 1)





Chapter 435 - Arbitration / Section 435.014 Arbitrators, may not be subpoen...

Section 435.014 Arbitrators, may not be subpoenaed — proceedings regarded as settlement negotiations, communications confidential.

MO Rev Stat § 435.014 (2019) (N/A)



435.014. Arbitrators, may not be subpoenaed — proceedings regarded as settlement negotiations, communications confidential. — 1. If all the parties to a dispute agree in writing to submit their dispute to any forum for arbitration, conciliation or mediation, then no person who serves as arbitrator, conciliator or mediator, nor any agent or employee of that person, shall be subpoenaed or otherwise compelled to disclose any matter disclosed in the process of setting up or conducting the arbitration, conciliation or mediation.

2. Arbitration, conciliation and mediation proceedings shall be regarded as settlement negotiations. Any communication relating to the subject matter of such disputes made during the resolution process by any participant, mediator, conciliator, arbitrator or any other person present at the dispute resolution shall be a confidential communication. No admission, representation, statement or other confidential communication made in setting up or conducting such proceedings not otherwise discoverable or obtainable shall be admissible as evidence or subject to discovery.

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(L. 1986 H.B. 887 § 2)







Chapter 435 - Arbitration / Section 435.350 Validity of arbitration agreeme...

# Section 435.350 Validity of arbitration agreement, exceptions.

MO Rev Stat § 435.350 (2019) (N/A)



#### Effective 28 Aug 1996

435.350. Validity of arbitration agreement, exceptions. — A written agreement to submit any existing controversy to arbitration or a provision in a written contract, except contracts of insurance and contracts of adhesion, to submit to arbitration any controversy thereafter arising between the parties is valid, enforceable and irrevocable, save upon such grounds as exist at law or in equity for the revocation of any contract. Contracts which warrant new homes against defects in construction and reinsurance contracts are not "contracts of insurance or contracts of adhesion" for purposes of the arbitration provisions of this section.

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(L. 1980 H.B. 1203 § 1, A.L. 1996 H.B. 929)

(2000) Section regulates the business of insurance and thus is not preempted by the Federal Arbitration Act due to the provisions of the McCarran-Ferguson Act. Standard Security Life Ins. Co. v. West, 127 F.Supp.2d 1064 (W.D.Mo.); aff'd, 267 F.3d 821 (8th Cir. 2001). (2011) Attempted preemption by the Federal Arbitration Act of section's exclusion of

arbitration provisions from insurance contracts is barred by the McCarran-Ferguson Act. Sturgeon v. Allied Professionals Insurance Co., 344 S.W.3d 205 (Mo.App. E.D.).





Chapter 435 - Arbitration / Section 435.355 Proceedings to compel or stay a...

# Section 435.355 Proceedings to compel or stay arbitration.

MO Rev Stat § 435.355 (2019) (N/A)



#### Effective 28 Aug 1980

435.355. Proceedings to compel or stay arbitration. — 1. On application of a party showing an agreement described in section 435.350, and the opposing party's refusal to arbitrate, the court shall order the parties to proceed with arbitration, but if the opposing party denies the existence of the agreement to arbitrate, the court shall proceed summarily to the determination of the issue so raised and shall order arbitration if found for the moving party; otherwise, the application shall be denied.

- 2. On application, the court may stay an arbitration proceeding commenced or threatened on a showing that there is no agreement to arbitrate. Such an issue, when in substantial and bona fide dispute, shall be forthwith and summarily tried and the stay ordered if found for the moving party. If found for the opposing party, the court shall order the parties to proceed to arbitration.
- 3. If an issue referable to arbitration under the alleged agreement is involved in action or proceeding pending in a court having jurisdiction to hear applications under subsection 1 of this section, the application shall be made therein. Otherwise and subject to section 435.435, the application may be made in any court of competent jurisdiction.
- 4. Any action or proceeding involving an issue subject to arbitration shall be stayed if an order for arbitration or an application therefor has been made under this section or, if the issue is severable, the stay may be with respect thereto only. When the application is made in such action or proceeding, the order for arbitration shall include such stay.
- 5. An order for arbitration shall not be refused on the ground that the claim in issue lacks merit or bona fides or because any fault or grounds for the claim sought to be arbitrated have not been shown.







Chapter 435 - Arbitration / Section 435.360 Appointment of arbitrators by c...

## Section 435.360 Appointment of arbitrators by court.

MO Rev Stat § 435.360 (2019) (N/A)



#### Effective 28 Aug 1980

435.360. Appointment of arbitrators by court. — If the arbitration agreement provides a method of appointment of arbitrators, this method shall be followed. In the absence thereof, or if the agreed method fails or for any reason cannot be followed, or when an arbitrator appointed fails or is unable to act and his successor has not been duly appointed, the court on application of a party shall appoint one or more arbitrators. An arbitrator so appointed has all the powers of one specifically named in the agreement.

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(L. 1980 H.B. 1203 § 3)





/ U.S. State Codes / Missouri / Title XXVIII - Contracts an... / Chapter 435 - Arbitration / Section 435.365 Majority action by arbitrators.

## Section 435.365 Majority action by arbitrators.

MO Rev Stat § 435.365 (2019) (N/A)

Effective 28 Aug 1980

435.365. Majority action by arbitrators. — The powers of the arbitrators may be exercised by a majority unless otherwise provided by the agreement or by sections 435.350 to 435.470.

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(L. 1980 H.B. 1203 § 4)





Chapter 435 - Arbitration / Section 435.370 Hearing.

## Section 435.370 Hearing.

MO Rev Stat § 435.370 (2019) (N/A)



Effective 28 Aug 1980

435.370. Hearing. — Unless otherwise provided by the agreement:

- (1) The arbitrators shall appoint a time and place for the hearing and cause notification to the parties to be served personally or by registered mail not less than five days before the hearing. Appearance at the hearing waives such notice. The arbitrators may adjourn the hearing from time to time as necessary and, on request of a party and for good cause, or upon their own motion may postpone the hearing to a time not later than the date fixed by the agreement for making the award unless the parties consent to a later date. The arbitrators may hear and determine the controversy upon the evidence produced notwithstanding the failure of a party duly notified to appear. The court on application may direct the arbitrators to proceed promptly with the hearing and determination of the controversy.
- (2) The parties are entitled to be heard, to present evidence material to the controversy and to cross-examine witnesses appearing at the hearing.
- (3) The hearing shall be conducted by all the arbitrators but a majority may determine any question and render a final award. If, during the course of the hearing, an arbitrator for any reason ceases to act, the remaining arbitrator or arbitrators appointed to act as neutrals may continue with the hearing and determination of the controversy.

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(L. 1980 H.B. 1203 § 5)

**CROSS REFERENCE:** 

Contents of notification, 435.012

**Previous Section** 

Section 435.365 Majority action by arbitrators.







Chapter 435 - Arbitration / Section 435.375 Representation by attorney.

## Section 435.375 Representation by attorney.

MO Rev Stat § 435.375 (2019) (N/A)

Effective 28 Aug 1980

435.375. Representation by attorney. — A party has the right to be represented by an attorney at any proceeding or hearing under sections 435.350 to 435.470. A waiver thereof prior to the proceeding or hearing is ineffective.

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(L. 1980 H.B. 1203 § 6)





Chapter 435 - Arbitration / Section 435.380 Witnesses, subpoenas, depositions.

## Section 435.380 Witnesses, subpoenas, depositions.

MO Rev Stat § 435.380 (2019) (N/A)



#### Effective 28 Aug 1980

435.380. Witnesses, subpoenas, depositions. — 1. The arbitrators may issue or cause to be issued subpoenas for the attendance of witnesses and for the production of books, records, documents and other evidence, and shall have the power to administer oaths. Subpoenas so issued shall be served, and upon application to the court by a party or the arbitrators, enforced, in the manner provided by law for the service and enforcement of subpoenas in a civil action.

- 2. On application of a party and for use as evidence, the arbitrators may permit a deposition to be taken, in the manner and upon the terms designated by the arbitrators, of a witness who cannot be subpoenaed or is unable to attend the hearing.
- 3. All provisions of law compelling a person under subpoena to testify are applicable.
- 4. Fees for attendance as a witness shall be the same as for a witness in the circuit court.

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(L. 1980 H.B. 1203 § 7)







/ U.S. State Codes / Missouri / Title XXVIII - Contracts an... / Chapter 435 - Arbitration / Section 435.385 Award.

### Section 435.385 Award.

MO Rev Stat § 435.385 (2019) (N/A)

#### Effective 28 Aug 1980

435.385. Award. — 1. The award shall be in writing and signed by the arbitrators joining in the award. The arbitrators shall deliver a copy to each party personally or by registered mail, or as provided in the agreement.

2. An award shall be made within the time fixed therefor by the agreement or, if not so fixed, within such time as the court orders on application of a party. The parties may extend the time in writing either before or after the expiration thereof. A party waives the objection that an award was not made within the time required unless he notifies the arbitrators of his objection prior to the delivery of the award to him.

(L. 1980 H.B. 1203 § 8)







Chapter 435 - Arbitration / Section 435.390 Change of award by arbitrators.

## Section 435.390 Change of award by arbitrators.

MO Rev Stat § 435.390 (2019) (N/A)



#### Effective 28 Aug 1980

435.390. Change of award by arbitrators. — On application of a party or, if an application to the court is pending under section 435.400, 435.405 or 435.410, on submission to the arbitrators by the court under such conditions as the court may order, the arbitrators may modify or correct the award upon the grounds stated in subdivisions (1) and (3) of subsection 1 of section 435.410, or for the purpose of clarifying the award. The application shall be made within twenty days after delivery of the award to the applicant. Written notice thereof shall be given forthwith to the opposing party, stating he must serve his objections thereto, if any, within ten days from the notice. The award so modified or corrected is subject to the provisions of sections 435.400, 435.405 and 435.410.

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(L. 1980 H.B. 1203 § 9)





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Chapter 435 - Arbitration / Section 435.395 Fees and expenses of arbitration.

## Section 435.395 Fees and expenses of arbitration.

MO Rev Stat § 435.395 (2019) (N/A)

Effective 28 Aug 1980

435.395. Fees and expenses of arbitration. — Unless otherwise provided in the agreement to arbitrate, the arbitrators' expenses and fees, together with other expenses, not including counsel fees, incurred in the conduct of the arbitration, shall be paid as provided in the award.

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(L. 1980 H.B. 1203 § 10)







Chapter 435 - Arbitration / Section 435.400 Confirmation of an award.

## Section 435.400 Confirmation of an award.

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MO Rev Stat § 435.400 (2019) (N/A)

#### Effective 28 Aug 1980

435.400. Confirmation of an award. — Upon application of a party, the court shall confirm an award, unless within the time limits hereinafter imposed grounds are urged for vacating or modifying or correcting the award, in which case the court shall proceed as provided in sections 435.405 and 435.410.

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(L. 1980 H.B. 1203 § 11)

(1991) Where employee's suit was a hybrid Section 301 of the federal Labor Management Relations Act and a fair representation claim to which a six-month statute of limitations applied, Missouri statute allowing successful litigant ten years to collect judgment did not apply to employee's suit against his employer to enforce arbitration back pay award. Livingstone v. Schnuck Market, Inc., 950 F.2d 579 (8th Cir.).



Chapter 435 - Arbitration / Section 435.405 Vacating an award — de novo jud...

# Section 435.405 Vacating an award — de novo judicial review, when.

MO Rev Stat § 435.405 (2019) (N/A)

#### Effective 28 Aug 1998

435.405. Vacating an award — de novo judicial review, when. — 1. Upon application of a party, the court shall vacate an award where:

- (1) The award was procured by corruption, fraud or other undue means;
- (2) There was evident partiality by an arbitrator appointed as a neutral or corruption in any of the arbitrators or misconduct prejudicing the rights of any party;
- (3) The arbitrators exceeded their powers;
- (4) The arbitrators refused to postpone the hearing upon sufficient cause being shown therefor or refused to hear evidence material to the controversy or otherwise so conducted the hearing, contrary to the provisions of section 435.370, as to prejudice substantially the rights of a party; or
- (5) There was no arbitration agreement and the issue was not adversely determined in proceedings pursuant to section 435.355 and the party did not participate in the arbitration hearing without raising the objection; but the fact that the relief was such that it could not or would not be granted by a court of law or equity is not ground for vacating or refusing to confirm the award.
- 2. An application pursuant to this section shall be made within ninety days after delivery of a copy of the award to the applicant, except that, if predicated upon corruption, fraud or other undue means, it shall be made within ninety days after such grounds are known or should have been known.
- 3. In vacating the award on grounds other than stated in subdivision (5) of subsection 1 of this section or subsection 5 of this section, the court may order a rehearing before new arbitrators chosen as provided in the agreement, or in the absence thereof, by the court in accordance with section 435.360, or if the award is vacated on grounds set forth in subdivisions (3) and (4) of subsection 1 of this section the court may order a rehearing fore the arbitrators who made the award or their successors appointed in accordance with

section 435.360. The time within which the agreement requires the award to be made is applicable to the rehearing and commences from the date of the order.

- 4. If the application to vacate is denied and no motion to modify or correct the award is pending, the court shall confirm the award.
- 5. Notwithstanding the provisions of this section, if an arbitration award in any legal proceeding pursuant to chapter 452 or chapter 454 determines an issue regarding a child of the marriage, such determination shall be subject to de novo judicial review.

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(L. 1980 H.B. 1203 § 12, A.L. 1998 S.B. 910)

(1998) Section does not authorize setting aside award due to manifest disregard of the law. Edward D. Jones & Co. v. Schwartz, 969 S.W.2d 788 (W.D.Mo.).





Chapter 435 - Arbitration / Section 435.410 Modification or correction of a...

### Section 435.410 Modification or correction of award.

MO Rev Stat § 435.410 (2019) (N/A)

#### Effective 28 Aug 1980

435.410. Modification or correction of award. — 1. Upon application made within ninety days after delivery of a copy of the award to the applicant, the court shall modify or correct the award where:

- (1) There was an evident miscalculation of figures or an evident mistake in the description of any person, thing or property referred to in the award;
- (2) The arbitrators have awarded upon a matter not submitted to them and the award may be corrected without affecting the merits of the decision upon the issues submitted; or
- (3) The award is imperfect in a matter of form, not affecting the merits of the controversy.
- 2. If the application is granted, the court shall modify and correct the award so as to effect its intent and shall confirm the award as so modified and corrected. Otherwise, the court shall confirm the award as made.
- 3. An application to modify or correct an award may be joined in the alternative with an application to vacate the award.

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(L. 1980 H.B. 1203 § 13)







Chapter 435 - Arbitration / Section 435.415 Judgment or decree on award.

## Section 435.415 Judgment or decree on award.

MO Rev Stat § 435.415 (2019) (N/A)

Effective 28 Aug 1980

435.415. Judgment or decree on award. — Upon the granting of an order confirming, modifying or correcting an award, judgment or decree shall be entered in conformity therewith and be enforced as any other judgment or decree. Costs of the application and of the proceedings subsequent thereto, and disbursements may be awarded by the court.

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(L. 1980 H.B. 1203 § 14)





Chapter 435 - Arbitration / Section 435.420 Judgment roll, docketing.

## Section 435.420 Judgment roll, docketing.

MO Rev Stat § 435.420 (2019) (N/A)

#### Effective 28 Aug 1980

435.420. Judgment roll, docketing. — 1. On entry of judgment or decree, the clerk shall prepare the judgment roll consisting, to the extent filed, of the following:

- (1) The agreement and each written extension of the time within which to make the award;
- (2) The award;
- (3) A copy of the order confirming, modifying or correcting the award; and
- (4) A copy of the judgment or decree.
- 2. The judgment or decree may be docketed as if rendered in an action.

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(L. 1980 H.B. 1203 § 15)





Chapter 435 - Arbitration / Section 435.425 Applications to court.

## Section 435.425 Applications to court.

MO Rev Stat § 435.425 (2019) (N/A)

#### Effective 28 Aug 1980

435.425. Applications to court. — Except as otherwise provided, an application to the court under sections 435.350 to 435.470 shall be by motion and shall be heard in the manner and upon the notice provided by law or rule of court for the making and hearing of motions. Unless the parties have agreed otherwise, notice of an initial application for an order shall be served in the manner provided by law for the service of a summons in an action.

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(L. 1980 H.B. 1203 § 16)







Chapter 435 - Arbitration / Section 435.430 Court, jurisdiction.

## Section 435.430 Court, jurisdiction.

MO Rev Stat § 435.430 (2019) (N/A)

Effective 28 Aug 1980

435.430. Court, jurisdiction. — The term "court" means any court of competent jurisdiction of this state. The making of an agreement described in section 435.350 providing for arbitration in this state confers jurisdiction on the court to enforce the agreement under sections 435.350 to 435.470 and to enter judgment on an award thereunder.

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(L. 1980 H.B. 1203 § 17)





/ U.S. State Codes / Missouri / Title XXVIII - Contracts an... / Chapter 435 - Arbitration / Section 435.435 Venue.

### Section 435.435 Venue.

MO Rev Stat § 435.435 (2019) (N/A)

#### Effective 28 Aug 1980

435.435. Venue. — An initial application shall be made to the circuit court of the county in which the agreement provides the arbitration hearing shall be held or, if the hearing has been held, in the county in which it was held. Otherwise the application shall be made in the county where the adverse party resides or has a place of business or, if he has no residence or place of business in this state, to the circuit court of Cole County. All subsequent applications shall be made to the court hearing the initial application unless the court otherwise directs.

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(L. 1980 H.B. 1203 § 18)





Chapter 435 - Arbitration / Section 435.440 Appeals.

## Section 435.440 Appeals.

MO Rev Stat § 435.440 (2019) (N/A)



Effective 28 Aug 1980

435.440. Appeals. — 1. An appeal may be taken from:

- (1) An order denying an application to compel arbitration made under section 435.355;
- (2) An order granting an application to stay arbitration made under subsection 2 of section 435.355;
- (3) An order confirming or denying confirmation of an award;
- (4) An order modifying or correcting an award;
- (5) An order vacating an award without directing a rehearing; or
- (6) A judgment or decree entered pursuant to the provisions of sections 435.350 to 435.470.
- 2. The appeal shall be taken in the manner and to the same extent as from orders or judgments in a civil action.

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(L. 1980 H.B. 1203 § 19)

(2016) Interlocutory order denying arbitration is immediately appealable upon entry. Sanford v. Centurytel of Missouri, LLC, 490 S.W.3d 717 (Mo.).





Chapter 435 - Arbitration / Section 435.445 Act not retroactive.

### Section 435.445 Act not retroactive.

MO Rev Stat § 435.445 (2019) (N/A)

Effective 28 Aug 1980

435.445. Act not retroactive. — Sections 435.350 to 435.470 apply only to agreements made subsequent to the taking effect of sections 435.350 to 435.470.

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(L. 1980 H.B. 1203 § 20)





/ U.S. State Codes / Missouri / Title XXVIII - Contracts an... / Chapter 435 - Arbitration / Section 435.450 Uniformity of interpretation.

## Section 435.450 Uniformity of interpretation.

MO Rev Stat § 435.450 (2019) (N/A)

Effective 28 Aug 1980

435.450. Uniformity of interpretation. — Sections 435.350 to 435.470 shall be so construed as to effectuate its general purpose to make uniform the law of those states which enact it.

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(L. 1980 H.B. 1203 § 21)





Chapter 435 - Arbitration / Section 435.455 Constitutionality.

## Section 435.455 Constitutionality.

MO Rev Stat § 435.455 (2019) (N/A)

Effective 28 Aug 1980

435.455. Constitutionality. — If any provision of sections 435.350 to 435.470 or the application thereof to any person or circumstance is held invalid, the invalidity shall not affect other provisions or applications of sections 435.350 to 435.470 which can be given without the invalid provision or application, and to this end the provisions of sections 435.350 to 435.470 are severable.

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(L. 1980 H.B. 1203 § 22)





Chapter 435 - Arbitration / Section 435.460 Notice of arbitration provision...

## Section 435.460 Notice of arbitration provisions required.

MO Rev Stat § 435.460 (2019) (N/A)



#### Effective 28 Aug 1980

435.460. Notice of arbitration provisions required. — Each contract subject to the provisions of sections 435.350 to 435.470 shall include adjacent to, or above, the space provided for signatures a statement, in ten point capital letters, which read substantially as follows:

"THIS CONTRACT CONTAINS A BINDING ARBITRATION PROVISION WHICH MAY BE ENFORCED BY THE PARTIES."

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(L. 1980 H.B. 1203 § 23)

(1985) Provisions of this section may not be applied to defeat the arbitration provision of a contract within the coverage of the Federal Arbitration Act (Maritime transactions or transactions involving commerce). Bunge Corp. v. Perryville Feed & Produce (Mo. banc), 685 S.W.2d 837.

(1985) Held to be invalid when applied to commercial contracts involving interstate commerce pursuant to preemption by the Federal Arbitration Act, 9 U.S.C. §§ 1-14 (1982). Bunge Corp. v. Perryville Feed and Produce Co., (Mo. banc) 685 S.W.2d 837.





Chapter 435 - Arbitration / Section 435.465 Application of law.

## Section 435.465 Application of law.

MO Rev Stat § 435.465 (2019) (N/A)

#### Effective 28 Aug 1997

435.465. Application of law. — 1. Sections 435.350 to 435.470 shall apply only to written agreements between commercial persons, or between such persons and those with whom they contract other than commercial persons, involving the submission of any existing controversy to arbitration, or involving a written contract between commercial persons, or between such persons and those with whom they contract other than commercial persons, to submit to arbitration any controversy thereafter arising between such parties. Such agreements and provisions are valid, enforceable and irrevocable, save upon such grounds as exist at law or in equity for the revocation of any contract.

2. As used in subsection 1 of this section, the term "commercial persons" shall mean all persons and legal entities, excluding any government or governmental subdivision or agency.

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(L. 1980 H.B. 1203 § 24, A.L. 1995 H.B. 189, A.L. 1997 S.B. 243)





/ U.S. State Codes / Missouri / Title XXVIII - Contracts an... / Chapter 435 - Arbitration / Section 435.470 Short title.

## Section 435.470 Short title.

MO Rev Stat § 435.470 (2019) (N/A)

Effective 28 Aug 1980

435.470. Short title. — Sections 435.350 to 435.470 may be cited as the "Uniform Arbitration Act".

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(L. 1980 H.B. 1203 § 25)