Arbitration Awards Decisions: Print and Electronic Sources

Not all arbitration decisions are published. This guide is intended to assist Pepperdine Law faculty, staff, and students in finding published arbitration awards decisions available at the Pepperdine Law Library in both print and electronic formats. The following topics of arbitration decisions are included below: Commercial; Securities; International; Intellectual Property; Maritime; and Labor/Employment. For more information, see Zimmerman’s Research Guide: Arbitration, Mediation, and Alternative Dispute Resolution, http://law.lexisnexis.com/infopro/zimmermans/disp.aspx?z=1180.

Print materials referenced in this guide can be found in two locations in the law library: “AAA Collection” and “Main Collection.” “AAA Collection” refers to the American Arbitration Association (AAA) Library & Information Center Collection, which is located on the second floor of the library. The Collection contains numerous books, periodicals, manuscripts, and reports, on the subject of dispute resolution. “Main Collection” refers to the library’s general stacks.

To the extent possible, topics are organized by arbitral institution, followed by print and electronic sources of published awards for each institution.

Commercial Arbitrations (Domestic)

Commercial arbitration awards decisions are typically not published.

Securities Arbitrations

New York Stock Exchange (NYSE)

New York Stock Exchange Arbitration Decisions

- **Westlaw:** NYSE-ARB (Summaries); FSEC-ARB (Summaries) (1989-2007)
- **Lexis:** By Source: Legal > Area of Law - By Topic > Alternative Dispute Resolution > Find Arbitration Decisions & Awards > New York Stock Exchange Arbitration Decisions

  By Dot Command: FEDSEC; NYARB (1989-present)

NYSE Arca Arbitration Decisions

“NYSE Arca is a fully electronic exchange for growth-oriented enterprises. Listed companies can grow on NYSE Arca and transfer seamlessly to the NYSE once they meet the requirements.”
National Association of Securities Dealers (NASD).

National Association of Securities Dealers (NASD) Arbitration Awards

- **Westlaw:** NASD-ARB (Summaries); FSEC-ARB (Summaries) (1981-2007)

- **Lexis:**
  - By Dot Command: FEDSEC; ARBAWD (1989-present)

Financial Industry Regulatory Authority (FINRA)

In 2007, NYSE Regulation’s Arbitration Department and NASD Dispute Resolution merged to form FINRA.

FINRA Arbitration Awards Online

- **Internet:** http://finraawardsonline.finra.org/search.aspx (1989–present)

Includes decisions from FINRA, NYSE, NASD, Pacific Exchange, American Stock Exchange (AMEX), Philadelphia Stock Exchange (PHLX), and Municipal Securities Rulemaking Board (MSRB).

- **Westlaw:** FINRA-ARB (Summaries and Full-text decisions) (1981-present)

- **Lexis:**
  - By Dot Command: FEDSEC; ARBAWD (1989-present)

International Arbitrations


Please note that most of the sources listed below provide coverage of only selected awards, and may have only excerpts of the award decision rather than full text.
**Note re: Access to KluwerArbitration.com:** Pepperdine Law faculty, staff, and students can access this subscription database (as well as the others listed below) through the library’s online database page. To access KluwerArbitration remotely, go to this website: [http://intelliconnect.ch.com.lib.pepperdine.edu/scion/secure/index.jsp#page[1]], then select “Practice Tools” and “International Securities & Business.” You will need your WaveNet ID. _KluwerArbitration only works with Internet Explorer._

*International Chamber of Commerce (ICC)/International Court of Arbitration (ICA) ([http://www.iccwbo.org/court/arbitration](http://www.iccwbo.org/court/arbitration))*

The ICC is the world’s leading international commercial arbitration institution. The Court of Arbitration is not really a “court” and does not decide disputes or act as an arbitrator. Rather, the Court is an administrative body of the ICC that organizes and supervises arbitrations.

ICA awards can be found in the following sources:

**ICC International Court of Arbitration Bulletin**

- **Library:** Law Reviews (AAA Collection)
  (1990-present)

**Collection of ICC arbitral awards / Recueil des Sentences Arbitrales de la CCI**

- **Library:** K 2400 A495 C65 (AAA Collection & Main Collection)
  (1974-2000)

**International Council for Commercial Arbitration (ICCA), Yearbook: Commercial Arbitration**

- **Library:** K 2400 Y58 (AAA Collection & Main Collection)
  (AAA: 1976-2004; Main: 1976-present)

- **Subscription Database:** _KluwerArbitration.com_
  (Select coverage; Located under “Books.”)

**ICC Court of Arbitration Awards**

- **Subscription Database:** _KluwerArbitration.com_
  (Select coverage; Located under “Organizations.”)

- **Westlaw:** ICC-AWARDS
  (International Chamber of Commerce International Court of Arbitration – Awards)
  (1975-present)

**SchiedsVZ (German Arbitration Journal)**

- **Library:** Law Reviews (AAA Collection)
  (2003-present)

Library: K 2400 A496 H37 1997 (AAA Collection & Main Collection)

London Court of International Arbitration (LCIA) (http://www.lcia-arbitration.com/)

“The LCIA is one of the longest-established international institutions for commercial dispute resolution. It is also one of the most modern and forward-looking. Although based in London, the LCIA is a thoroughly international institution, providing efficient, flexible and impartial administration of dispute resolution proceedings for all parties, regardless of their location, and under any system of law.”

LCIA awards can be found in the following source:

LCIA Awards

Westlaw: LCIA-AWARDS (London Court of International Arbitration Awards) (2004-present)

International Centre for Dispute Resolution (ICDR) (http://adr.org/)

“The International Centre for Dispute Resolution (ICDR), the international division of the AAA, was established in 1996 to provide the same high-quality alternative dispute resolution (ADR) services available in the U.S. to individuals and organizations around the globe. Like the AAA, the ICDR administers cases impartially and efficiently while successfully tackling the additional challenges of resolving international conflicts.”

ICDR awards can be found in the following sources:

AAA Awards

Subscription Database: KluwerArbitration.com
Search for individual awards.

International Centre for Dispute Resolution Awards

Westlaw: ICDR-AWARDS (As made available to West)

International Council for Commercial Arbitration (ICCA), Yearbook: Commercial Arbitration

Library: K 2400 Y58 (AAA Collection & Main Collection) (AAA: 1976-2004; Main: 1976-present)

Subscription Database: KluwerArbitration.com (Select coverage; Located under “Books.”)
CRCICA is an International Organization operating in Egypt since 1979 by dint of an international agreement signed between the Egyptian Government and the Asian African Legal Consultative Organization (having more than 45 member-states). Since that time, CRCICA has administered domestic and international cases - according to the UNCITRAL Arbitration Rules (institutionally adapted and slightly amended) with parties from all around the world.”

Awards can be found in the following sources:

Arbitral awards of the Cairo Regional Centre for International Commercial Arbitration

- **Library:** K 2400 A54 2000, volume 1 only
  (Select awards up to 1996)

- **Subscription Database:** KluwerArbitration.com
  (Select coverage; Located under “Organizations.”)

Arbitration Institute of the Stockholm Chamber of Commerce (SCC) (http://www.sccinstitute.se/)

“The SCC does not itself decide disputes. The function of the SCC is to: Administer domestic and international disputes in accordance with the SCC’s rules; Administer domestic and international disputes in accordance with other procedures or rules agreed upon by the parties (e.g. the UNCITRAL Arbitration Rules); and Provide information concerning arbitration and mediation matters.”

Awards can be found in the following sources:


- **Library:** K 2400 S96
  (1994, 1995)

Stockholm Arbitration Report (continued by Stockholm International Arbitration Review, which the law library does not own)

- **Library:** K 2400 A53 S76
  (1999-2004)


- **Library:** K 2400 A54 I57 2006
  (Contains all court decisions previously published in the Stockholm Arbitration Report between 1999 and 2003.)


- **Library:** K 2400 A54 I57 2008
(Contains all court decisions previously published in the Stockholm Arbitration Report between 1999 and 2006.)

Arbitration Institute of the Stockholm Chamber of Commerce Awards

- **Subscription Database**: [KluwerArbitration.com](http://www.kluwerarbitration.com)
  (Select coverage; Located under “Organizations” or “Countries.”)


“The China International Economic and Trade Arbitration Commission (CIETAC) is one of the major permanent arbitration institutions in the world. . . .The CIETAC independently and impartially resolves economic and trade disputes by means of arbitration.”

Awards can be found in the following source:

- **Westlaw**: CIETAC-AWARDS
  (China International Economic Trade & Arbitration Commission- Awards)
  (1963-1997)

*Japan Commercial Arbitration Association (JCAA)* ([http://www.jcaa.or.jp/e/index.html](http://www.jcaa.or.jp/e/index.html))

“As the only permanent commercial arbitral institution in Japan, JCAA contributes to the resolution of disputes arising from international and domestic business transactions.”

Awards can be found in the following source:

*International Council for Commercial Arbitration (ICCA), Yearbook: Commercial Arbitration*

- **Library**: K 2400 Y58 (AAA Collection & Main Collection)
  (AAA: 1976-2004; Main: 1976-present)

- **Subscription Database**: [KluwerArbitration.com](http://www.kluwerarbitration.com)
  (Select coverage; Located under “Books.”)

*Permanent Court of Arbitration (PCA)* ([http://www.pca-cpa.org/](http://www.pca-cpa.org/))

“The PCA is an intergovernmental organization with over one hundred member states. Established in 1899 to facilitate arbitration and other forms of dispute resolution between states, the PCA has developed into a modern, multi-faceted arbitral institution that is now perfectly situated at the juncture between public and private international law to meet the rapidly evolving dispute resolution needs of the international community. Today the PCA provides services for the resolution of disputes involving various combinations of states, state entities, intergovernmental organizations, and private parties.”

Awards can be found in the following sources:
PCA Cases

- **Internet:** http://www.pca-cpa.org/showpage.asp?pag_id=1029 (Select cases only; pending cases also.)

- **Subscription Database:** KluwerArbitration.com (select coverage)
  (2002-2007)

- **Westlaw:** PCA-AWARDS (Permanent Court of Arbitration Awards)
  (1999-present)

International Council for Commercial Arbitration (ICCA), Yearbook: Commercial Arbitration

- **Library:** K 2400 Y58 (AAA Collection & Main Collection)
  (AAA: 1976-2004; Main: 1976-present)

- **Subscription Database:** KluwerArbitration.com (Select coverage; Located under “Books.”)

Reports of International Arbitral Awards/ Recuil des Sentences Arbitrales

- **Library:** KZ 6124 R475 (AAA Collection & Main Collection)
  (1948-2007)

- **Internet:** http://www.un.org/law/riaa/ (1948-present)

International Legal Materials

- **Library:** Law Reviews (Main Collection)
  (1962-present)


“ICSID is an autonomous international institution established under the Convention on the Settlement of Investment Disputes between States and Nationals of Other States with over one hundred and forty member States. The Convention sets forth ICSID’s mandate, organization and core functions. The primary purpose of ICSID is to provide facilities for conciliation and arbitration of international investment disputes.”

ICSID awards can be found in the following sources:

ICSID Cases

- **Library:** K 2400 I582 1987 (1972-1987)
A NAFTA claim is a legal complaint submitted by a NAFTA Investor who has suffered loss by reason of a breach of certain NAFTA provisions by a NAFTA Party. The claim is heard by an international tribunal, normally composed of three members appointed by the Investor and the NAFTA Party being sued. Tribunals are formed under the Investor’s choice of commercial arbitration rules laid out by either the World Bank (through its International Centre for the Settlement of Investment Disputes – the ICSID) or by the United Nations Commission on International Trade Law (under the UNCITRAL Rules).

“The Iran-United States Claims Tribunal came into existence as one of the measures taken to resolve the crisis in relations between the Islamic Republic of Iran and the United States of America arising out of the detention of 52 United States nationals at the United States Embassy in Tehran which commenced in November 1979, and the subsequent freeze of Iranian assets by the United States of America.”

Awards can be found in the following sources:

**Iran-United States Claims Tribunal Reports**
- **Library:** KZ 238.I7 I725 (Main Collection) (1981-1998)

**Iran-U.S. Claims Tribunal Awards**
- **Internet:** [http://www.iusct.com/](http://www.iusct.com/)
  (This is a fully-searchable database of the Iran-United States Claims Tribunal’s rulings and some additional general documents. Access to the database requires free registration.)
- **Westlaw:** INT-IRAN
  (International Law - Iran-United States Claims Tribunal Decisions)
  (1981-present)
- **Subscription Database:** *KluwerArbitration.com*
  Search for individual awards.

**World Trade Organization (WTO) Dispute Settlement ([http://www.wto.org/english/tratop_e/dispu_e/dispu_e.htm](http://www.wto.org/english/tratop_e/dispu_e/dispu_e.htm))**

“The WTO’s procedure for resolving trade quarrels under the Dispute Settlement Understanding is vital for enforcing the rules and therefore for ensuring that trade flows smoothly. A dispute arises when a member government believes another member government is violating an agreement or a commitment that it has made in the WTO. The authors of these agreements are the member governments themselves — the agreements are the outcome of negotiations among members. Ultimate responsibility for settling disputes also lies with member governments, through the Dispute Settlement Body.”

Awards can be found in the following sources:

- **Internet:** [http://www.wto.org/english/tratop_e/dispu_e/dispu_e.htm](http://www.wto.org/english/tratop_e/dispu_e/dispu_e.htm), then click “The disputes”
  (1995-present)
- **Westlaw:** WTOGATT-AWARDS
  (World Trade Organization and General Agreement on Tariffs and Trade Secretariat Awards)
  (1949-present)
Court of Arbitration for Sport (CAS) ([http://www.tas-cas.org/](http://www.tas-cas.org/))

“The Court of Arbitration for Sport (CAS) is an institution independent of any sports organization which provides for services in order to facilitate the settlement of sports-related disputes through arbitration or mediation by means of procedural rules adapted to the specific needs of the sports world. The CAS was created in 1984 and is placed under the administrative and financial authority of the International Council of Arbitration for Sport (ICAS).”

Awards can be found in the following sources:

**CAS Awards**

- **Subscription Database:** [KluwerArbitration.com](http://www.tas-cas.org/jurisprudence-archives) (Select coverage; Located under “Organizations.”)
- **Internet:** [http://www.tas-cas.org/jurisprudence-archives](http://www.tas-cas.org/jurisprudence-archives) (1986-present)

**Digest of CAS Awards**

- **Subscription Database:** [KluwerArbitration.com](http://www.tas-cas.org/jurisprudence-archives) Located under “Books.”

**Other Sources of Published International Arbitration Awards**

**Reports of International Arbitral Awards/ Recueil des Sentences Arbitrales**

This is a collection of international arbitral and judicial awards published by the United Nations.

- **Library:** KZ 6124 R475 (AAA Collection & Main Collection) (1948-2007)

**Case Law on UNCITRAL Texts (CLOUT)**

This database contains abstracts of court decisions and arbitral awards relating to the Conventions and Model Laws that have emanated from the work of the United Nations Commission on International Trade Law, including the United Nations Convention on Contracts for the International Sale of Goods (CISG).
Association Suisse de l’Arbitrage (ASA) Bulletin

“Since its inception in 1983 the Bulletin has carved a unique niche with its focus on arbitration case law and practice worldwide as well as its judicious selection of scholarly and practical writing in the field. Its regular contents include: Articles; Leading cases of the Swiss Federal Supreme Court; Leading cases of other Swiss Courts; Selected landmark cases from foreign jurisdictions worldwide; Arbitral awards and orders under various auspices including ICC, ICSID and the Swiss Chambers of Commerce (“Swiss Rules”); and Notices of publications and reviews. Each case and article is usually published in its original language with a comprehensive head note in English, French and German.”

Library: Law Reviews (AAA Collection & Main Collection) (1983-present; Earlier volumes listed under “Bulletin.”)

Subscription Database: KluwerArbitration.com Located under “Journals.”

International Legal Materials

“Published bimonthly since 1962, International Legal Materials provides the basic, primary documents of research and analysis. Materials are selected for their usefulness to scholars, practitioners and business and government officials, both in the United States and abroad. Each 250-page issue contains the full texts of important treaties and agreements, judicial and arbitral decisions, national legislation, international organizations resolutions and other documents.”

Library: Law Reviews (Main Collection) (1962-present)

Subscription Databases: HeinOnline, LexisNexis Academic (1962-present)

Mealey's International Arbitration Report

Library: K 2400 A13 I57 (AAA Collection) (1986-2005)


By Dot Command: ADR; MEAIAR
Intellectual Property Arbitrations


“Based in Geneva, Switzerland, the WIPO Arbitration and Mediation Center was established in 1994 to offer Alternative Dispute Resolution (ADR) options, in particular arbitration and mediation, for the resolution of international commercial disputes between private parties. Developed by leading experts in cross-border dispute settlement, the procedures offered by the Center are widely recognized as particularly appropriate for technology, entertainment and other disputes involving intellectual property.”

Awards can be found in the following sources:

World Intellectual Property Organization Awards

- **Westlaw**: WIPO-AWARDS (2000–present)

Uniform Domain Name Dispute Resolution Policy Arbitration Decisions

- **Westlaw**: UDRP-ARB (1999-2003 limited selective coverage from 2004-present)

Maritime Arbitrations

*Society of Maritime Arbitrators (SMA)*

The SMA is a professional, nonprofit organization whose mission is to educate the general public and members of the maritime industry about substantive maritime law and the procedures for alternative dispute resolution (ADR) in the maritime industry, and to encourage the use of ADR for the resolution of commercial disputes arising in the maritime industry.

Awards can be found in the following sources:

SMA Award Service

- **Library**: KF 1114 A9 S6 and Index/Digest (AAA Collection) (1980–2005)
- **Lexis**: By Source: Legal > Area of Law - By Topic > Alternative Dispute Resolution > Find Arbitration Decisions & Awards > Society of Maritime Arbitrator Award Decisions
  - By Dot Command: ADMRTY; USAWDS (1965-present)
International Council for Commercial Arbitration (ICCA), Yearbook: Commercial Arbitration

**Library:** K 2400 Y58 (AAA Collection & Main Collection)  
(AAA: 1976-2004; Main: 1976-present)

**Subscription Database:** KluwerArbitration.com  
(Select coverage; Located under “Books.”)

*Japan Shipping Exchange, Inc./Tokyo Maritime Arbitration Commission (TOMAC)*  
([http://www.jseinc.org/index_en.html](http://www.jseinc.org/index_en.html))

“Since 1921 JSE - The Japan Shipping Exchange, Inc. has been serving the shipping industry in such ways as administrating maritime arbitration, drafting and promulgating maritime contract forms, publishing in-depth analysis on shipping and logistics in the form of monthly magazine ‘KAIUN,’ and providing electronic shipping data bases.”

“JSE is involved in every aspect of maritime business. TOMAC (Tokyo Maritime Arbitration Commission of JSE) is thus uniquely capable of dealing with arbitrations involving problems arising in the field. TOMAC resolves disputes arising under bills of lading, charter parties, contracts relating to the sale and purchase of ships, shipbuilding, ship financing, manning and so forth.”

Awards can be found in the following sources:

**Wavelength/JSE Bulletin**

- **Library:** In process
(1964-2008)

**TOMAC (Tokyo Maritime Arbitration Commission) Arbitral Awards**

(Select awards.)

**Another Source for Maritime Arbitration Awards**

**American Maritime Cases**

“Since its founding in 1923 by practicing maritime lawyers, American Maritime Cases has earned a reputation as the method to research all significant maritime decisions rendered by United States (Federal and State) courts. It also reports legislative action, administrative law decisions, arbitration decisions, Maritime Law Association of the U.S. source material and other items which are deemed significant to researching U.S. and Canadian law from time to time.”

- **Library:** KF 1100 A2 A44 (Main Collection)  
(1923-2002)  
(Look in the “Index by Jurisdiction” at the beginning of the first volume for each year; Arbitrations, if any, are listed together near the end.)
Labor/Employment Arbitrations

There are numerous sources of labor and employment arbitration awards decisions. Accordingly, the sources for decisions listed below are organized into three categories: Labor/Employment Decisions in General; Decisions Concerning Government Employees, such as school employees; and Decisions Concerning Steelworkers. Please note that some sources only provide summaries, not full-text versions of decisions.


**Labor/Employment Decisions in General**

Labor Arbitration Information System (earlier title is Labor Arbitration Index)

- **Library:** KF 3421.28 L33 (1970–present)
- **Westlaw:** LAIS (Labor Arbitration Information System–Summaries) (1960–present)

AAA’s Summary of Labor Arbitration Awards

- **Library:** KF 3421.3 S961 and Index (AAA Collection) (1959–2006; Index: 1959-1991)

AAA Labor Arbitration Awards

- **Lexis:** By Source: Legal > Area of Law - By Topic > Alternative Dispute Resolution > Find Arbitration Decisions & Awards > AAA Labor Arbitration Awards
  - By Dot Command: LABOR; AAALAB (2003-present)

AAA Employment Arbitration Awards

- **Lexis:** By Source: Legal > Area of Law - By Topic > Alternative Dispute Resolution > Find Arbitration Decisions & Awards > AAA Employment Arbitration Awards
  - By Dot Command: LABOR; AAAEMP (2008-present)

BNA’s Labor Arbitration Reports (Published and Unpublished)

- **Library:** KF 3365 L3 (AAA Collection & Main Collection) (AAA: 1946-2004; Main: 1946-2006)

- **Subscription Database:** *BNA’s Labor & Employment Law Library* (1979-present)
Search the “Arbitration Decisions” collection.
This database is accessible only from the Law School.

- **Westlaw:**
  - LRR-LA (BNA Labor Arbitration Decisions)
    (1979–present)
  - LA-UNP (BNA Unpublished Labor Arbitration Decisions)
    (1988–present)
  - LA-COMB
    (BNA’s Labor Arbitration Reports and Unpublished Arbitration Reports Combined)

- **Lexis:**
  - **By Source:** Legal > Area of Law - By Topic > Alternative Dispute Resolution >
    Find Arbitration Decisions & Awards > BNA Labor Relations Reporter Labor Arbitration Reports
  - **By Dot Command:** LABOR; LRLA
    (1979-present)
  - **By Source:** Legal > Area of Law - By Topic > Alternative Dispute Resolution > Find
  - **By Dot Command:** LABOR; LBRARB
    (2003-present)
  - **By Source:** Legal > Area of Law - By Topic > Alternative Dispute Resolution > Find
    Arbitration Decisions & Awards > BNA Labor Arbitration Decisions - Published and
    Unpublished (Group File)
  - **By Dot Command:** LABOR; LRARB

**CCH’s Labor Arbitration Awards**

- **Library:**
  - KF 3421 C59 (AAA Collection & Main Collection)

- **Subscription
  Database:**
  - *CCH Online*

**Decisions Concerning Government Employees**

**AAA’s Arbitration in the Schools (Summaries)**

- **Library:**
  - KF 3450 .T43 A72 (AAA Collection & Main Collection)
    (1970-2005)

**AAA’s Labor Arbitration in Government (Summaries)**

- **Library:**
  - KF 3409 .P77 L33
Arbitrator’s Decisions

**Westlaw:** ARB-DEC (decisions related to HR issues involving federal gov’t employees); ARB-STAT (related statistics)
(1973–present, selected coverage)

Public Sector Arbitration Awards

- **Library:** KF 3450 .P8 P83 (AAA Collection)
  (1974-1983)

*Decisions Concerning Steelworkers*

Pike & Fischer Steel Arbitration Digest (Digest of awards published in Steelworkers Arbitration Awards)

- **Library:** KF 3450 .I7 B37
  (1960-1994)

Steelworkers Arbitration Awards

- **Library:** KF 3450 .I7 A516
  (1962-1994)

- **Internet:** http://www.uswa1066.org/Arbitration_Awards.html.
  (Postings of the most recent awards)

Steelworkers Arbitration Bulletins (earlier title is Basic Steel Arbitration Information Bulletins)

- **Library:** KF 3450 .I7 A516 (AAA Collection)